

ECE244

Wael Aboulsaadat

Analysis of Algorithms

Big-Oh ***Lecture 2***

Acknowledgment: these slides are partially based on slides by; Prof. Schmidt from Drexel U., Prof. Shewchuk from UC Berkely, Kruse & Ryba Data Structure and Program Design in C++, Prof. Savitch Problem Solving in C++ and others

Asymptotic Notation

☞ By now you should have an intuitive feel for asymptotic (big-O) notation:

- What does $O(n)$ running time mean? $O(n^2)$? $O(n \lg n)$?

Rules for big Oh Analysis

1. We assume an arbitrary time unit.
2. Running of each of the following type of statement takes time 1 or $T(1)$: [*omitting the arithmetic operators*]
 1. assignment statement
 2. I/O statement
 3. Boolean expression evaluation
 4. function return
3. Running time of a selection statement (if, switch) is $T(1)$ for the condition evaluation + the maximum of the running times for the individual clauses in the selection.

More Rules

4. Loop execution time is the time for the loop setup (initialization & setup) + the sum, over the number of times the loop is executed, of the body time + time for the loop check and update operations.
 - † Always assume that the loop executes the maximum number of iterations possible
5. Running time of a function call is $T(1)$ for function setup + the time required for the execution of the function body.
6. Running time of a sequence of statements is the largest time of any statement in the sequence.

Summing an Array: What is the big Oh?

```
Line 1:    sum = 0;  
Line 2:    for (i = 0; i < n; i++)  
Line 3:        sum += a[i];  
Line 4:    cout << sum;
```

How many steps are executed when this code runs?

Line 1:	1	
Line 4:	1	
Line 3:	n	
<u>Line 2:</u>	<u>n + 1</u>	
Total:	2n + 3	→ O(n)

Insertion Sort: What is the big Oh?

Trace

21 10 15 88 95 05 Unsorted numbers

First iteration

21 10 15 88 95 05 Compare 10 with 21, $10 < 21$

10 21 15 88 95 05 21 inserted to second position
10 inserted to first position

Second iteration

10 21 15 88 95 05 Compare 15 with 21, $15 < 21$

10 ? 21 88 95 05 21 inserted to third position

10 ? 21 88 95 05 Compare 15 with 10, $15 > 10$

10 15 21 88 95 05 15 got inserted to second position
10 inserted to first position

Third iteration

10 15 21 88 95 05 Compare 88 with 21, $88 > 21$

10 15 21 88 95 05 Compare 88 with 15, $88 > 15$

10 15 21 88 95 05 Compare 88 with 10, $88 > 10$

Insertion Sort: What is the big Oh?

Trace cont'd

Fourth iteration

10	15	21	88	95	05	Compare 95 with 88, $95 > 88$
10	15	21	88	95	05	Compare 95 with 21, $95 > 21$
10	15	21	88	95	05	Compare 95 with 15, $95 > 15$
10	15	21	88	95	05	Compare 95 with 10, $95 > 10$

Fifth iteration

10	15	21	88	95	05	Compare 05 with 95, $05 < 95$
10	15	21	88	?	95	95 inserted to last position
10	15	21	?	88	95	Compare 05 with 88, $05 < 88$ 88 inserted to next position
10	15	?	21	88	95	Compare 05 with 21, $05 < 21$ 21 inserted to next position
10	?	15	21	88	95	Compare 05 with 15, $05 < 15$ 15 inserted to next position
05	10	15	21	88	95	Compare 05 with 10, $05 < 10$ 10 inserted to next position and 05 inserted to first position

Insertion Sort: What is the worst case?

☛ Data: in reverse order

```
Line 1:  for (j=1; j<n; ++j) {  
Line 2:      key = A[j];  
Line 3:      i = j-1;  
Line 4:      for ( ; 0≤i && key<A[i]; --i){  
Line 5:          A[i+1] = A[i];  
Line 6:          --i;  
          }  
Line 7:      A[i+1] = key;  
          }
```


Insertion Sort: What is the worst case?

☞ Data: in reverse order

Line 1: for (j=1; j<n; ++j) {

Line 2: key = A[j];

Line 3: i = j-1;

Line 4: for (; 0≤i && key<A[i]; --i){

Line 5: A[i+1] = A[i];

Line 6: --i;

 }

Line 7: A[i+1] = key;

}

n

n-1

n-1

Sum $j=1..(n-1)$ of t_j

Sum $j=1..(n-1)$ of (t_j-1)

Sum $j=1..(n-1)$ of (t_j-1)

n-1

Insertion Sort: What is the worst case?

☞ Data: in reverse order

Line 1: for (j=1; j<n; ++j) {	n
Line 2: key = A[j];	n-1
Line 3: i = j-1;	n-1
Line 4: for (; 0≤i && key<A[i]; --i){	Sum j=1..(n-1) of t _j
Line 5: A[i+1] = A[i];	Sum j=1..(n-1) of (t _j -1)
Line 6: --i;	Sum j=1..(n-1) of (t _j -1)
}	
Line 7: A[i+1] = key;	n-1
}	

$$\begin{aligned}
 T(n) &= n + (n-1) + (n-1) + \\
 &\quad (\text{sum } j=1..(n-1) \text{ of } t_j) + (\text{sum } j=1..(n-1) \text{ of } t_{j-1}) + (\text{sum } j=1..(n-1) \text{ of } t_{j-1}) \\
 &\quad + (n-1) \\
 &= an^2 + bn + c \quad \rightarrow O(n^2)
 \end{aligned}$$

Insertion Sort: What is the best Case?

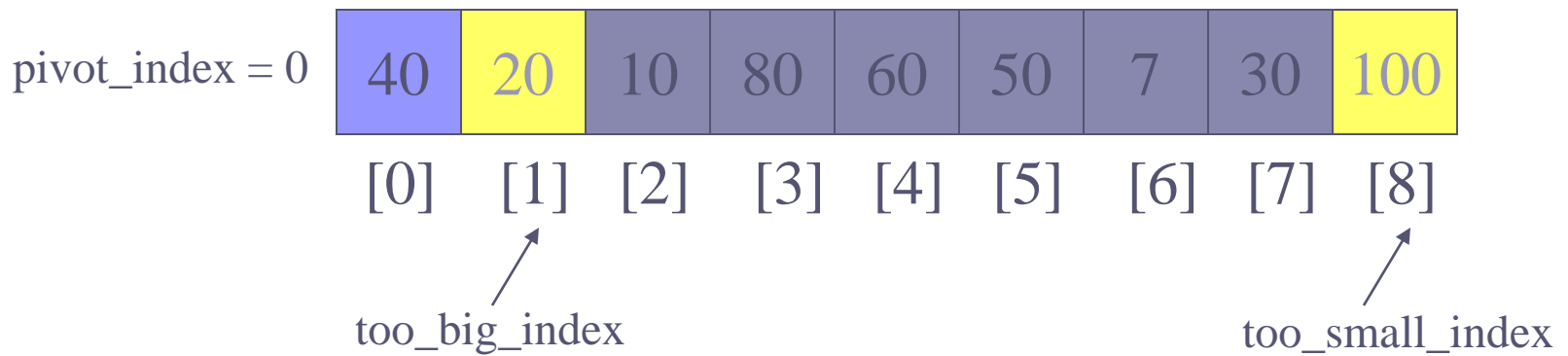
☞ Data: already sorted

Line 1: for (j=1; j<n; ++j) {	n
Line 2: key = A[j];	n-1
Line 3: i = j-1;	n-1
Line 4: for (; 0≤i && key<A[i]; --i){	1
Line 5: A[i+1] = A[i];	0
Line 6: --i;	0
}	
Line 7: A[i+1] = key;	n-1
}	

$$\begin{aligned}T(n) &= n + (n-1) + (n-1) + 1 + 0 + 0 + (n-1) \\ &= bn + c \quad \rightarrow O(n)\end{aligned}$$

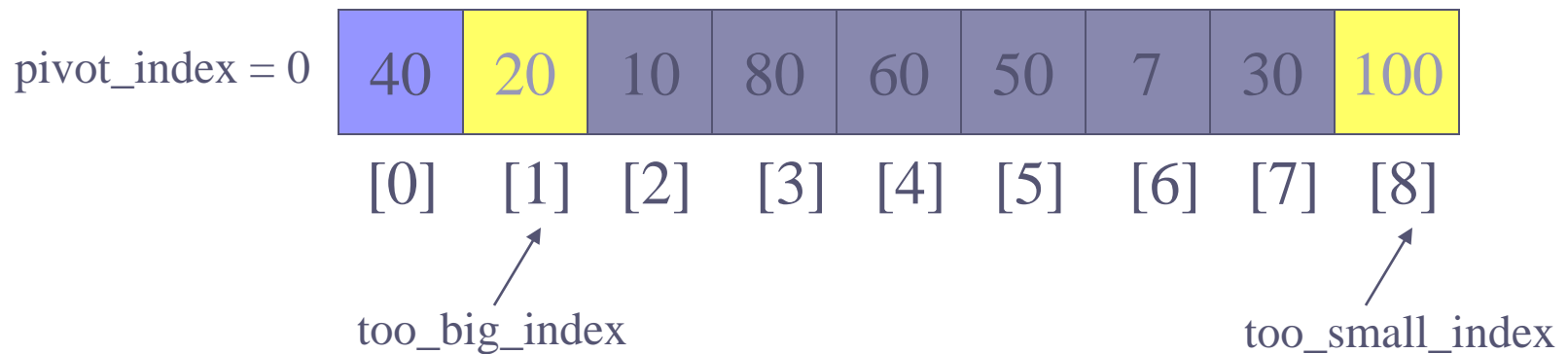
Quick Sort: What is the big Oh?

Trace



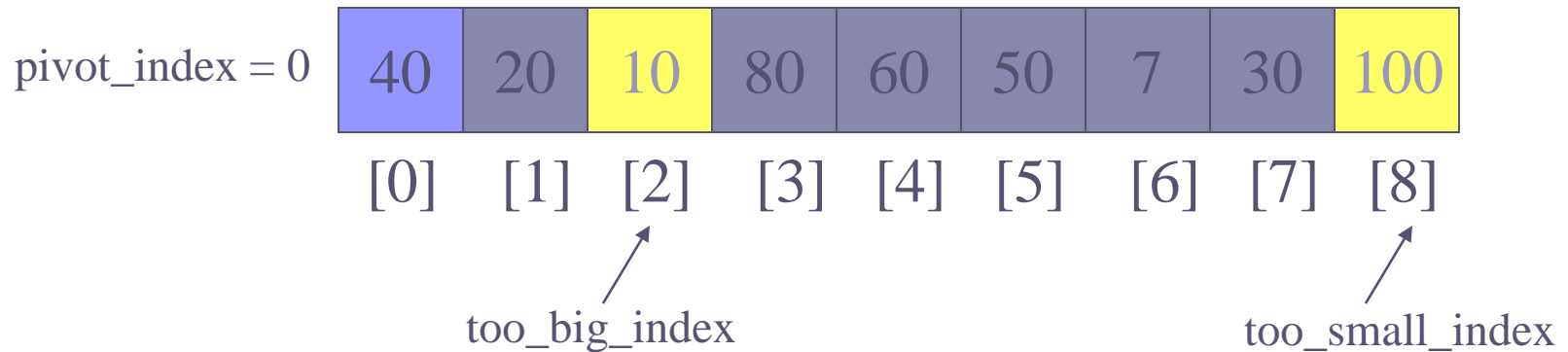
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$



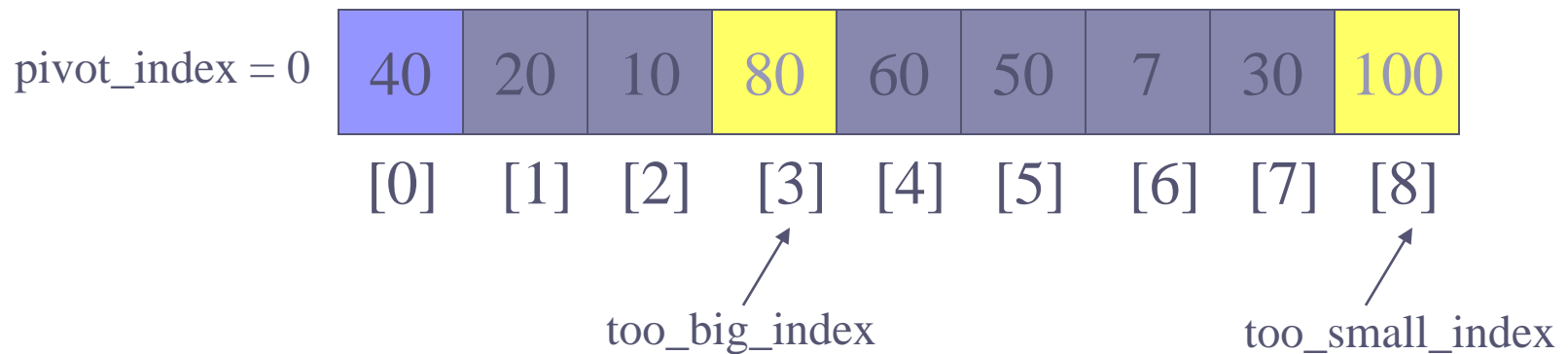
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$



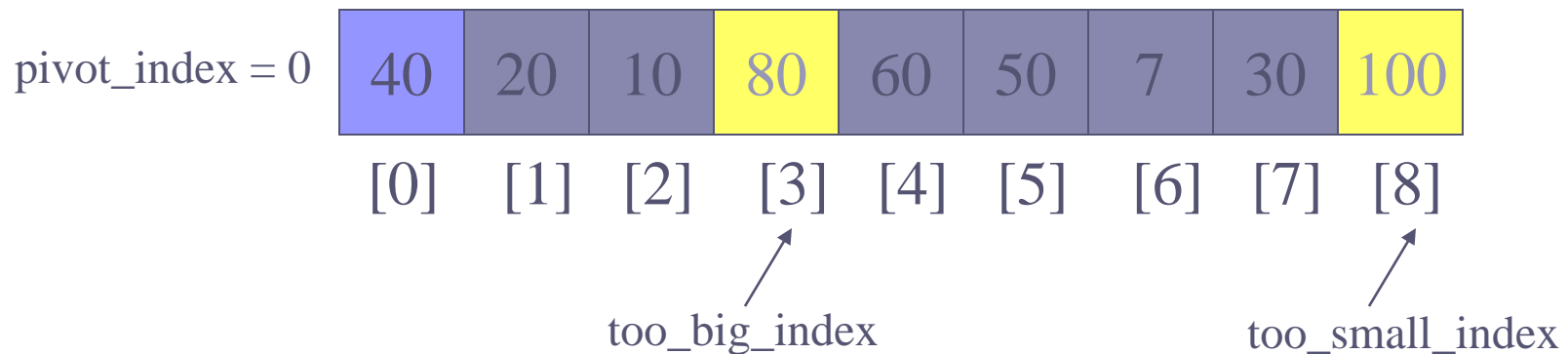
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$



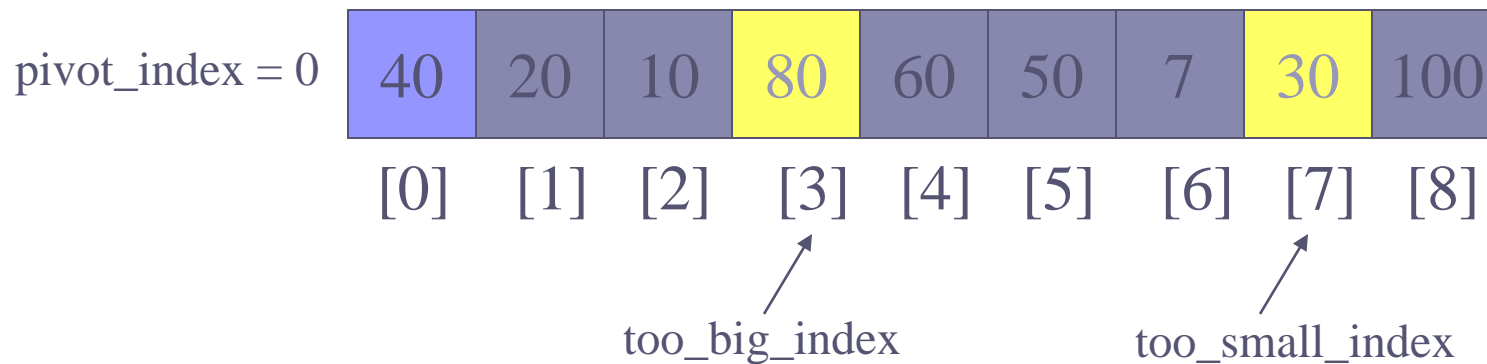
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$



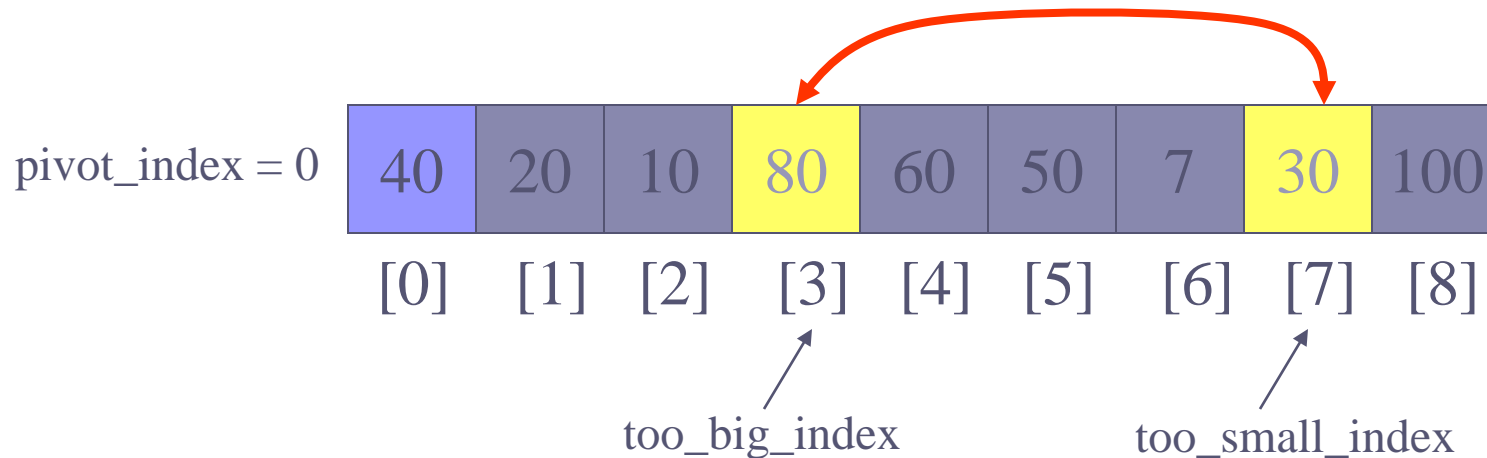
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$



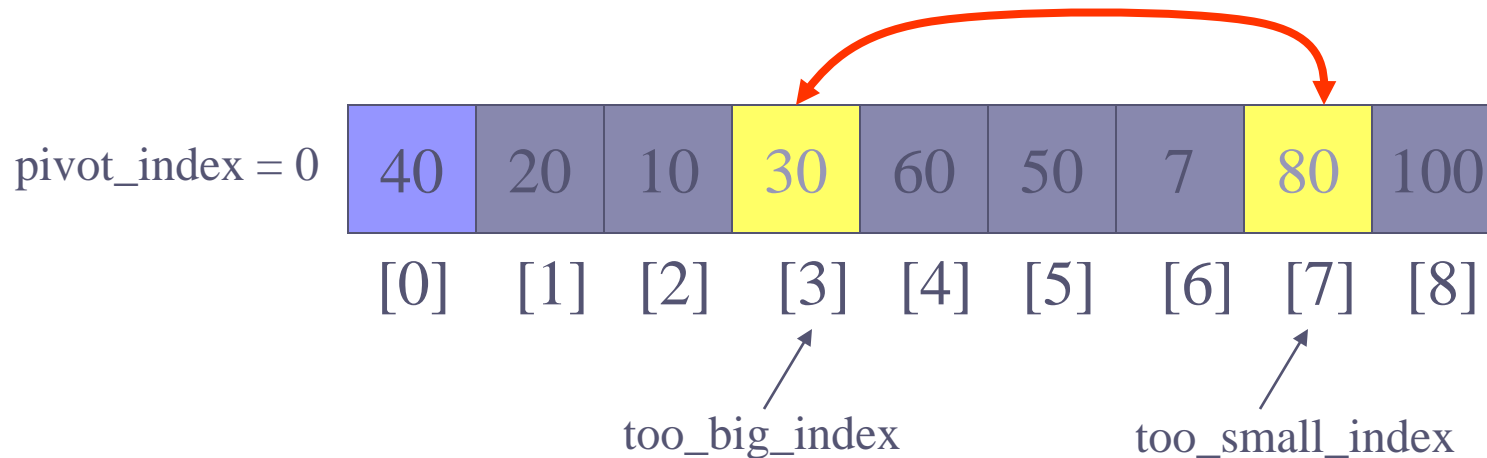
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$



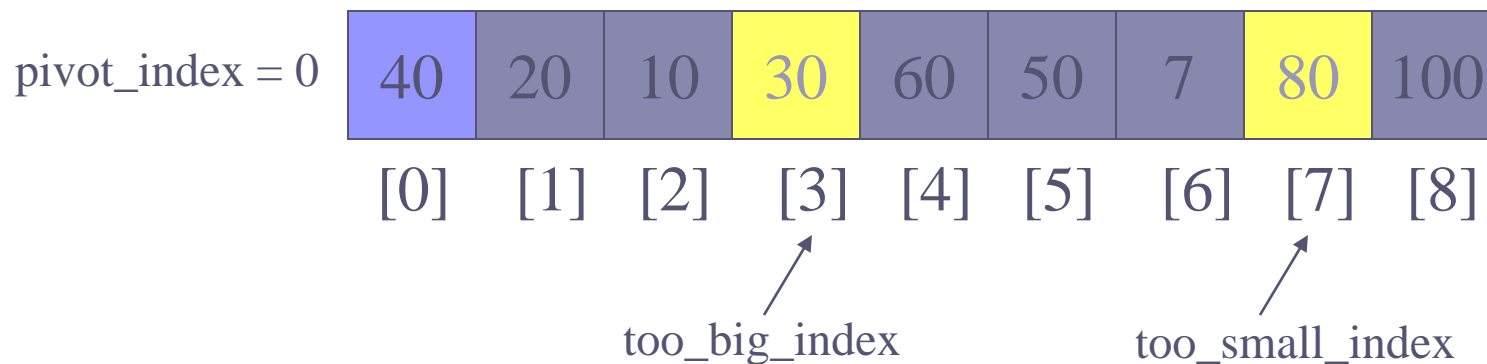
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$



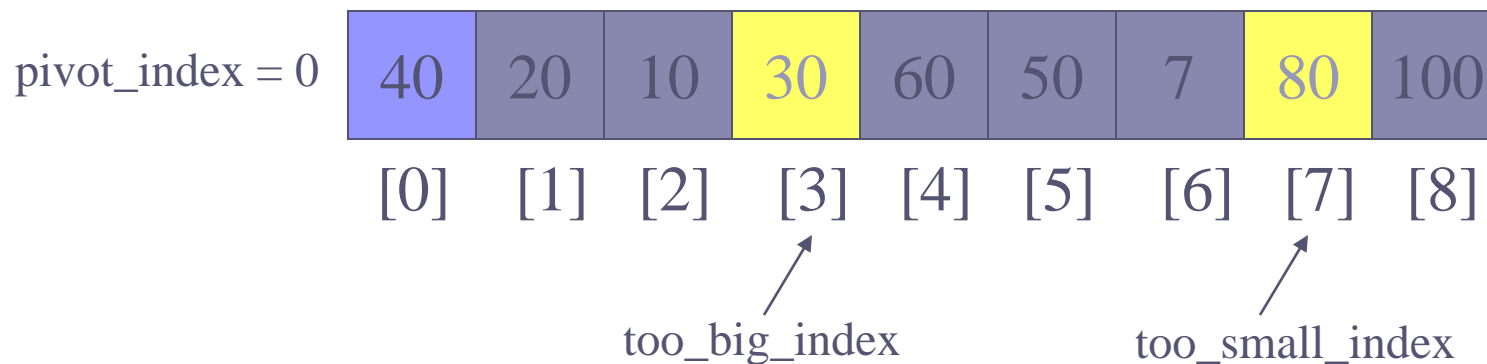
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



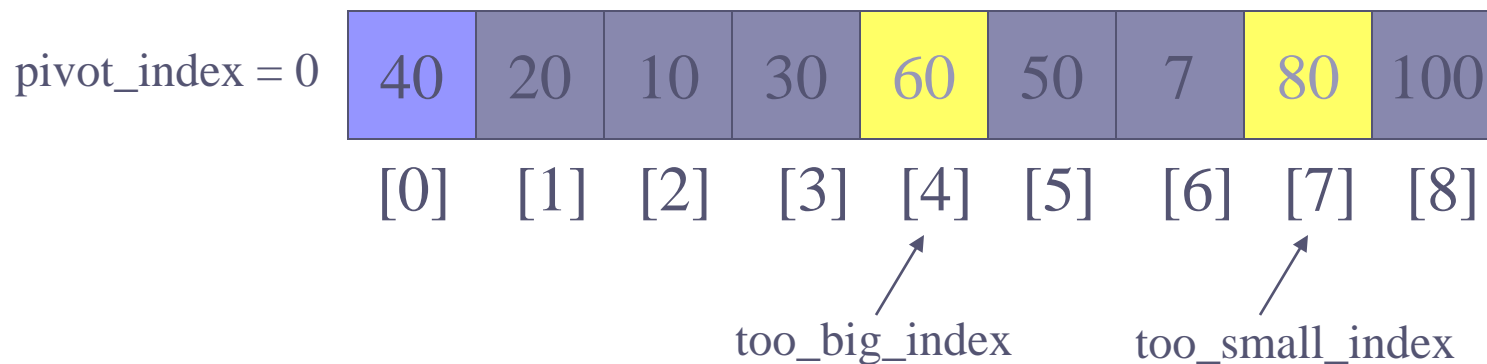
Recall QuickSort

- 1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



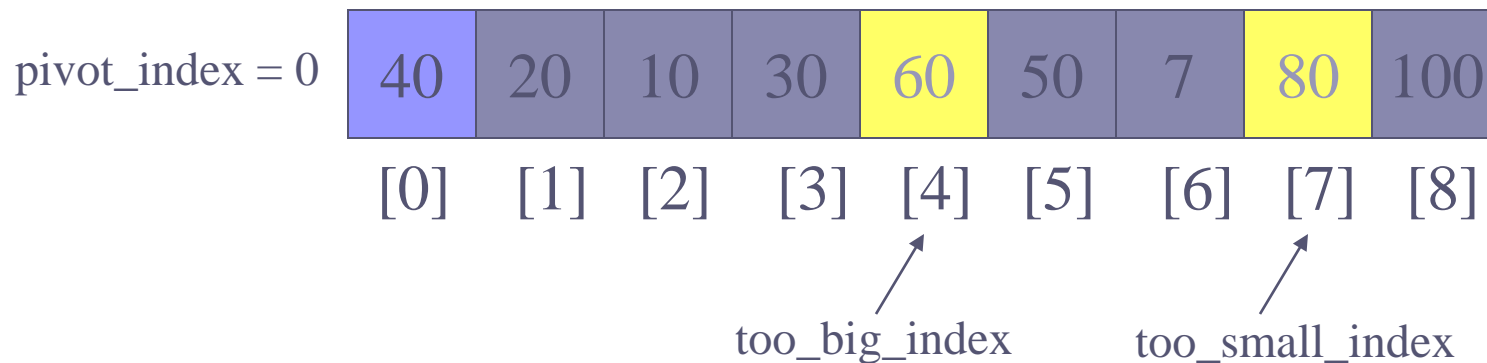
Recall QuickSort

- 1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
- 2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
- 3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
- 4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



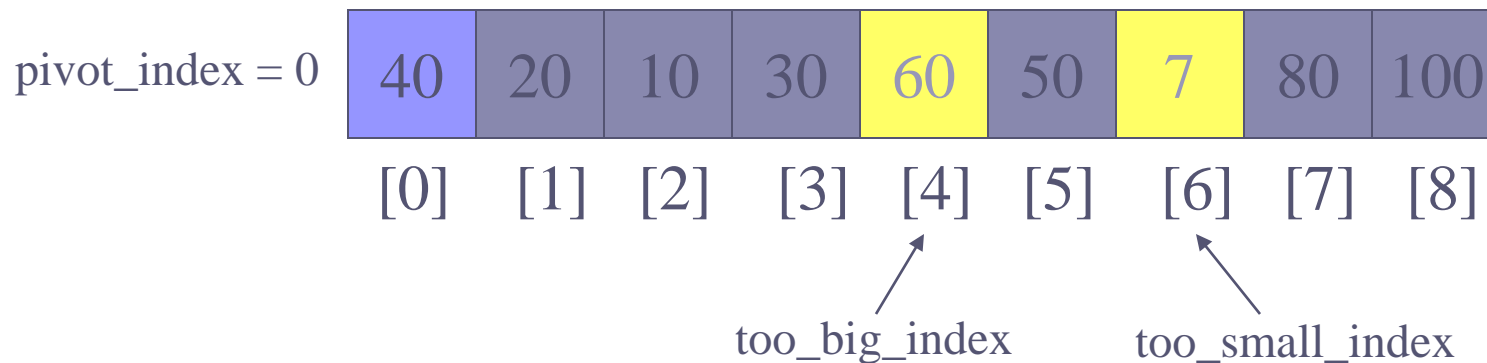
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
- 2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



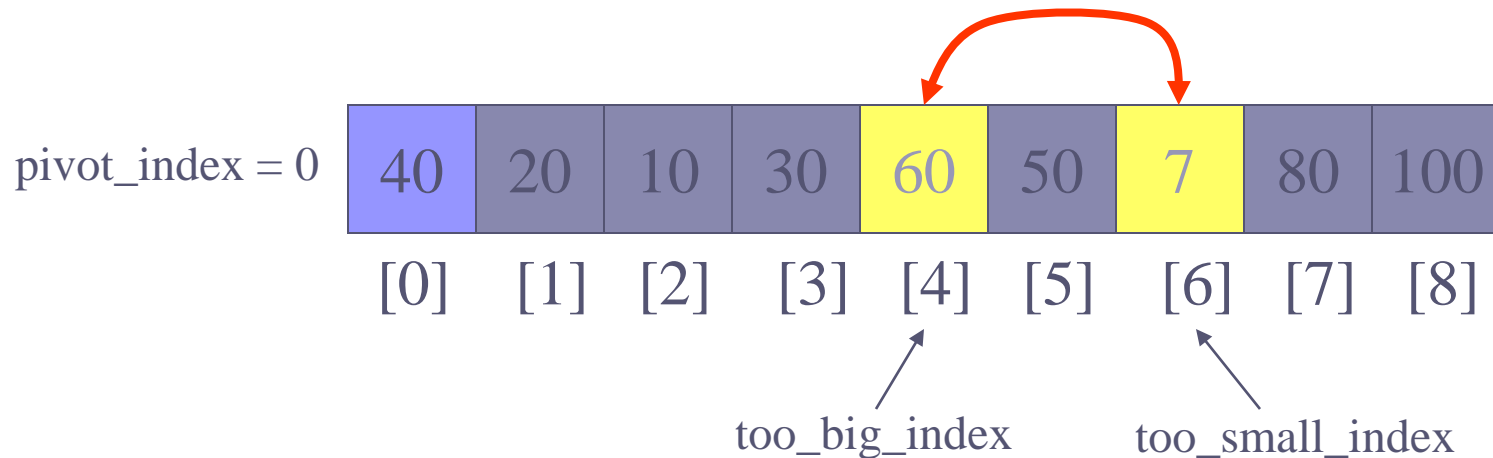
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
- 2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



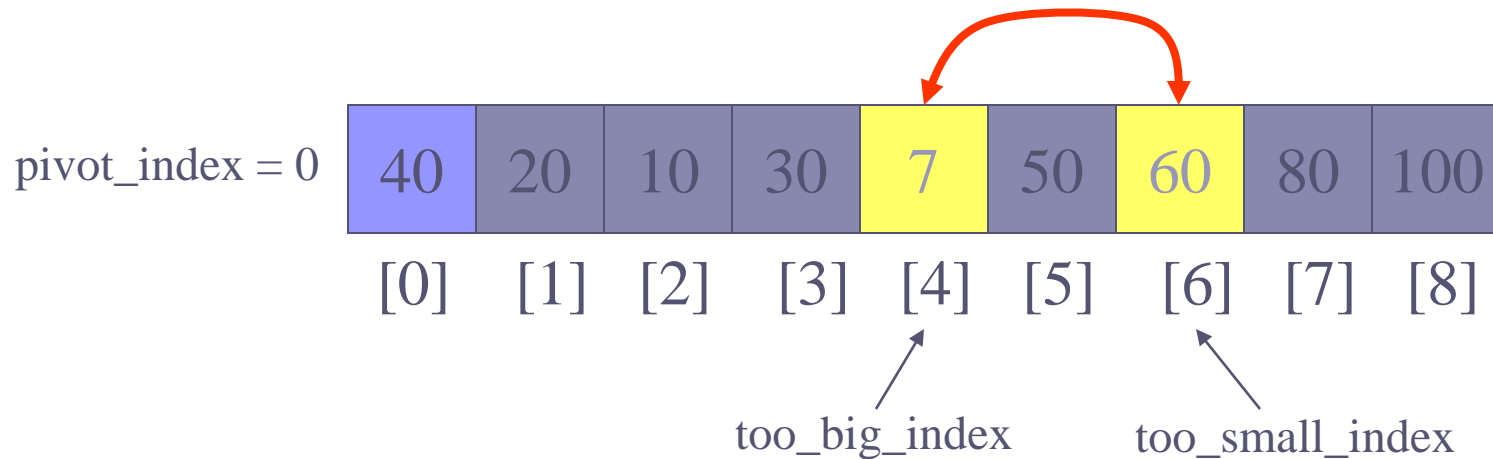
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
- 3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



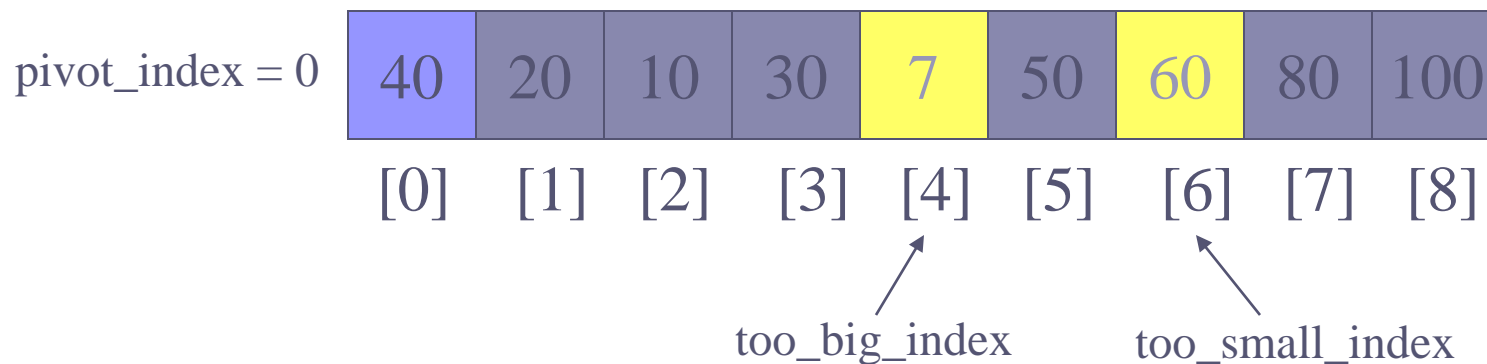
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
- 3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



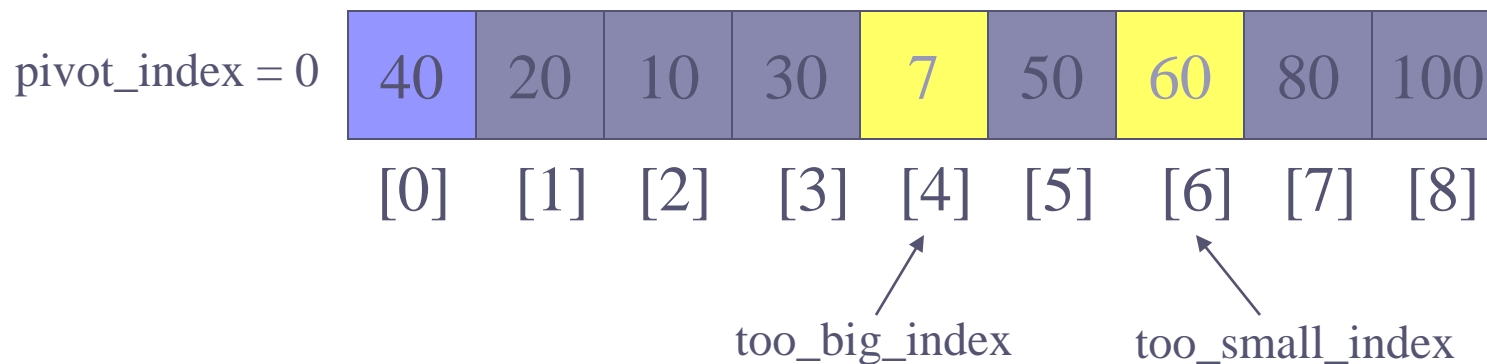
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
- 4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



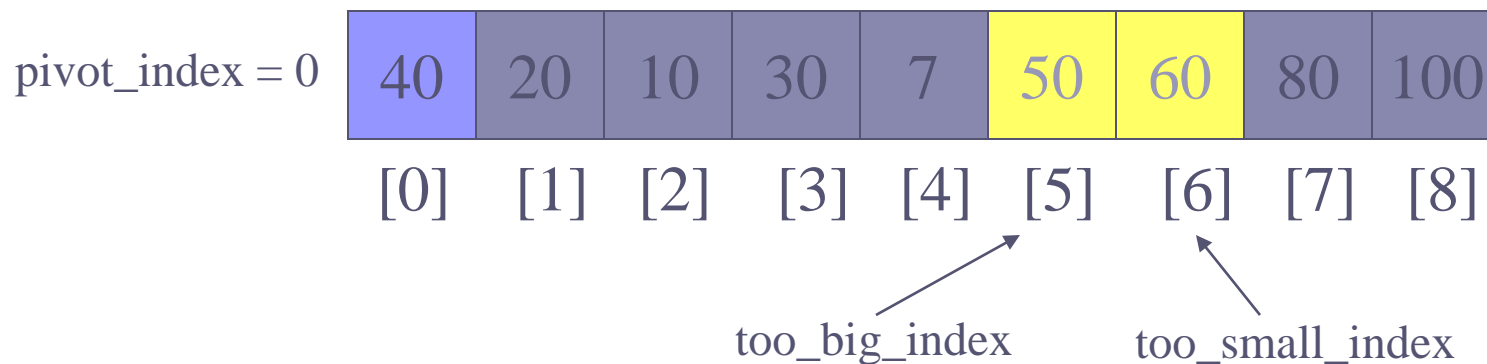
Recall QuickSort

- 1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



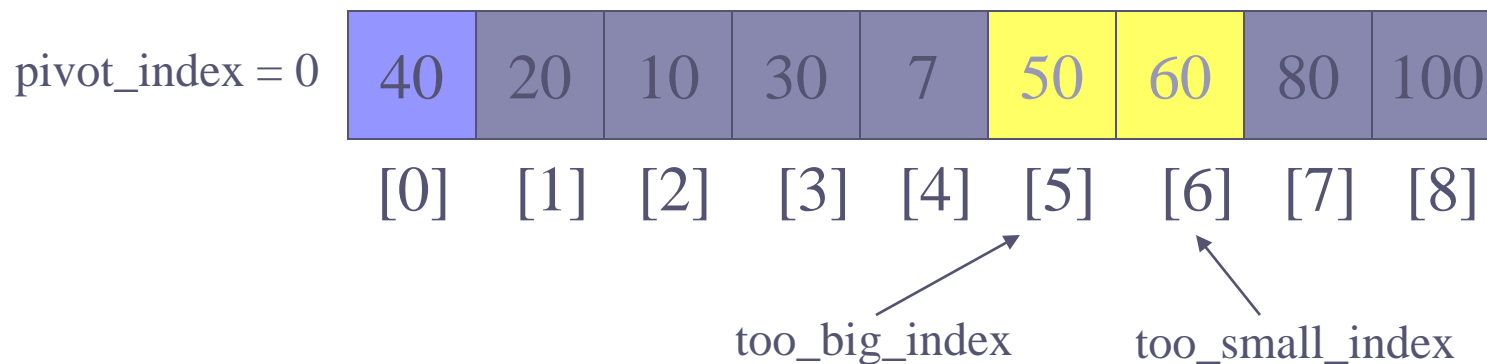
Recall QuickSort

- 1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
- 2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
- 3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
- 4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



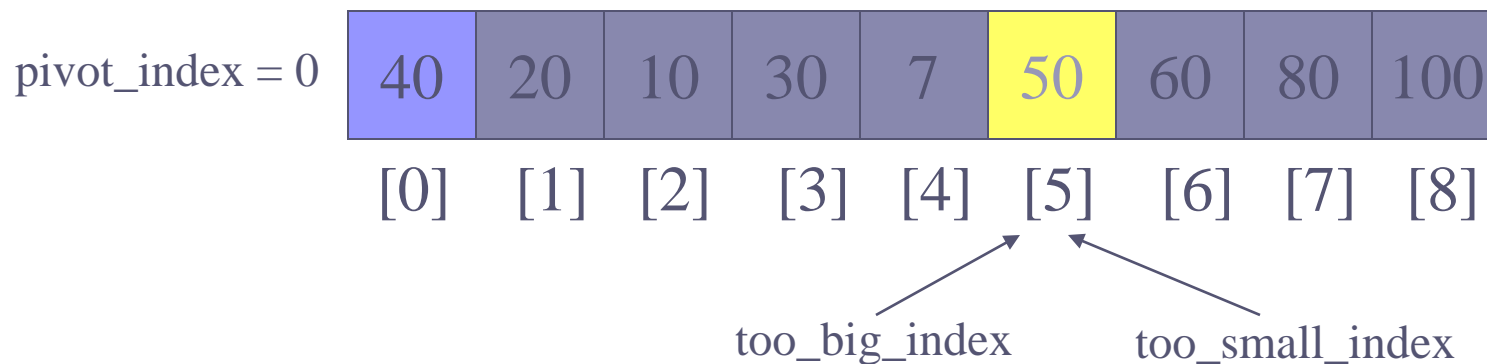
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
- 2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



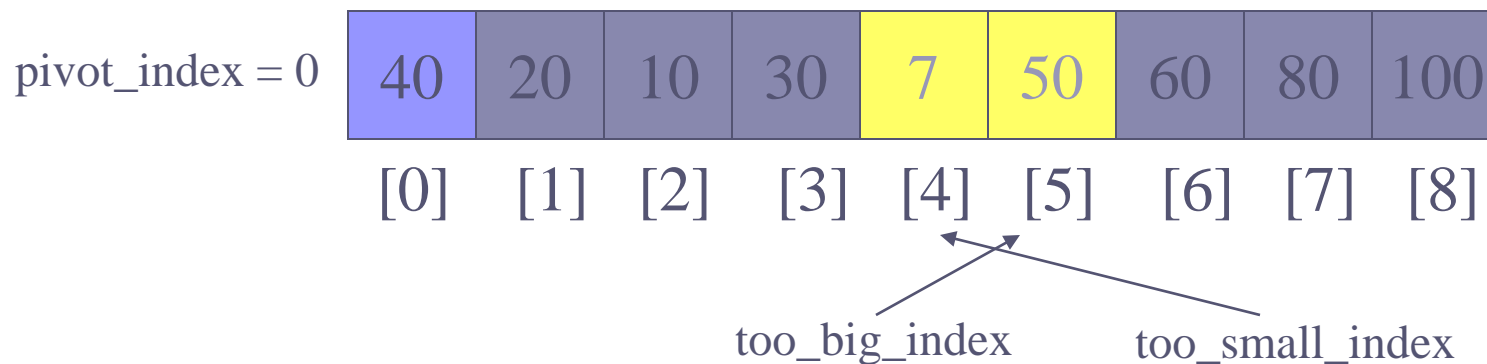
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
- 2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



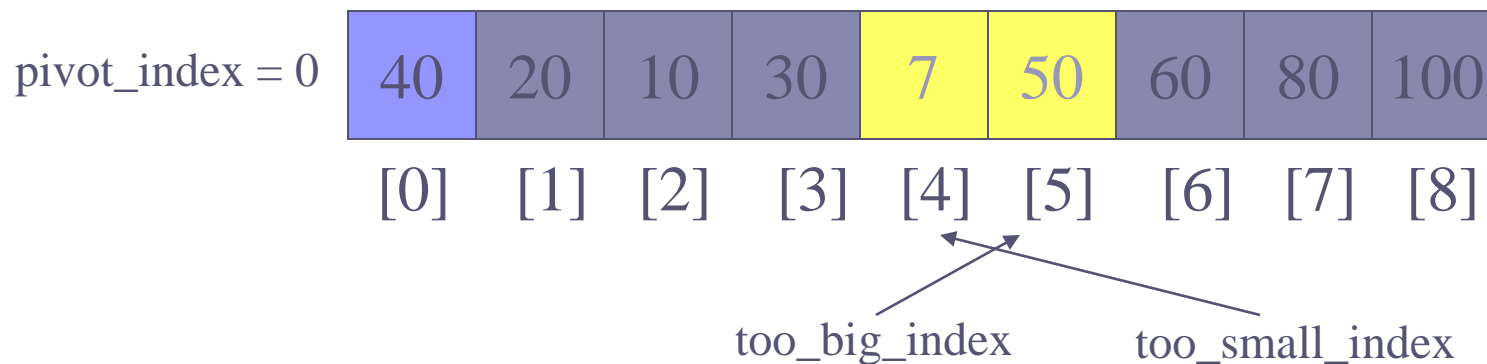
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
- 2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



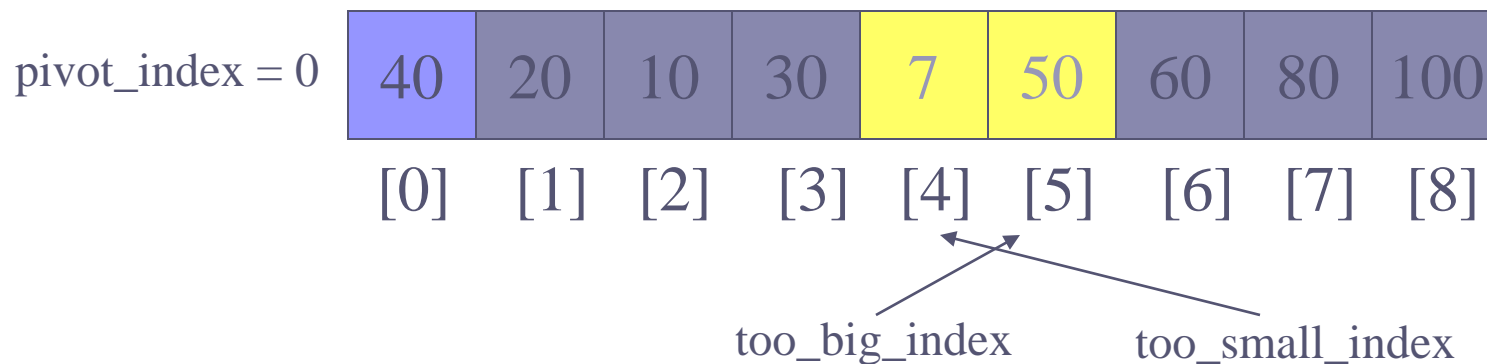
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
- 3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



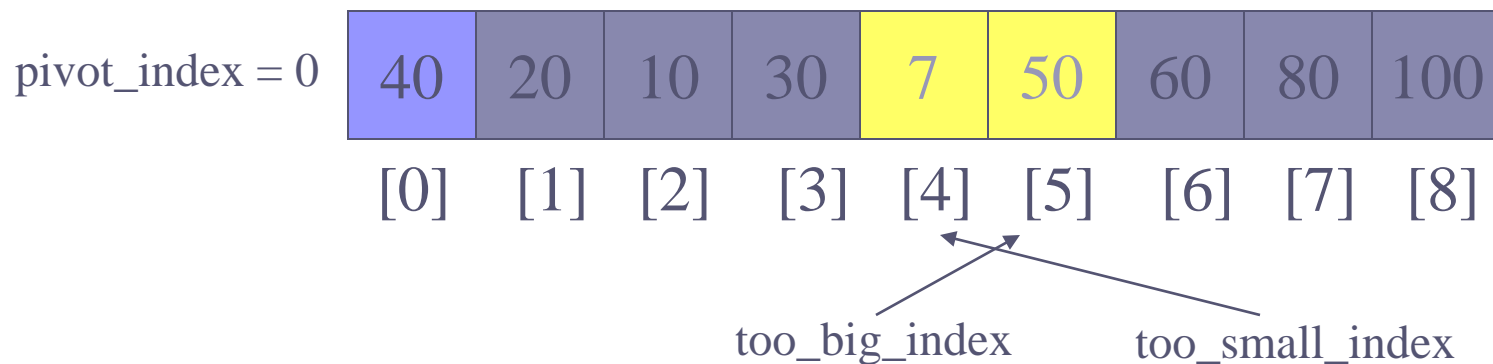
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
- 4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.



Recall QuickSort

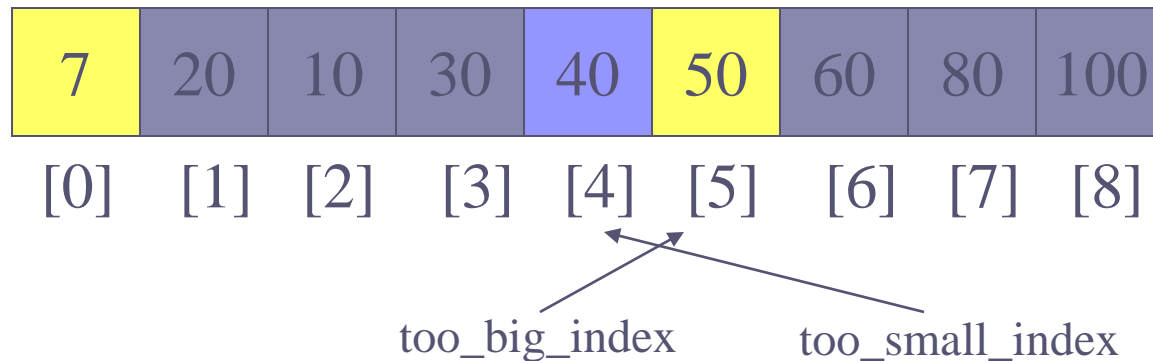
1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.
- 5. Swap $\text{data}[\text{too_small_index}]$ and $\text{data}[\text{pivot_index}]$



Recall QuickSort

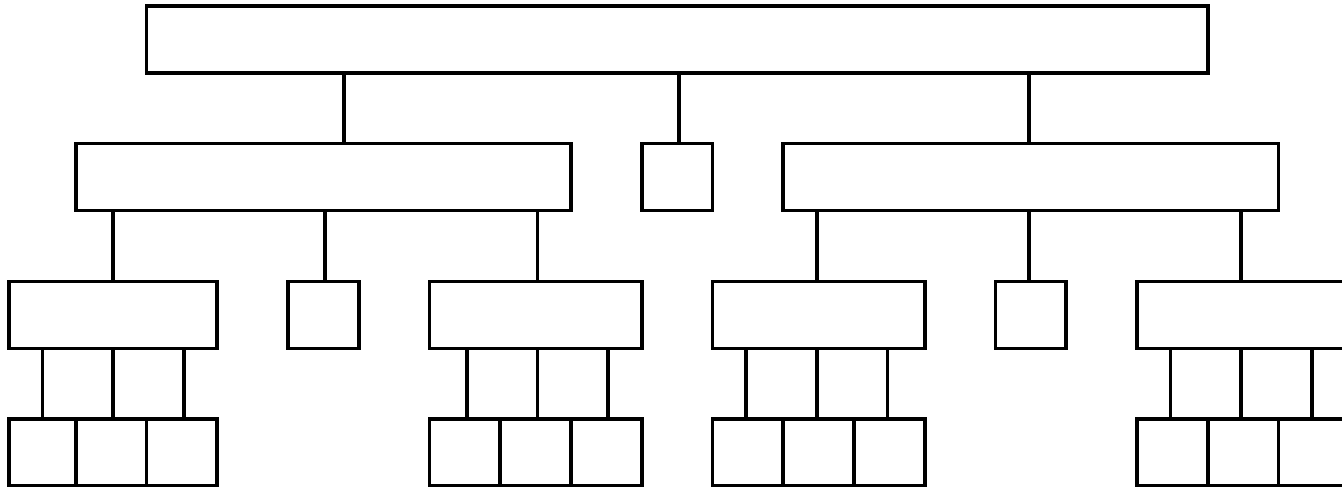
1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.
- 5. Swap $\text{data}[\text{too_small_index}]$ and $\text{data}[\text{pivot_index}]$

pivot_index = 4



Quick Sort: What is the big Oh?

Best case



in each recursion step the partitioning produces two parts of equal length.

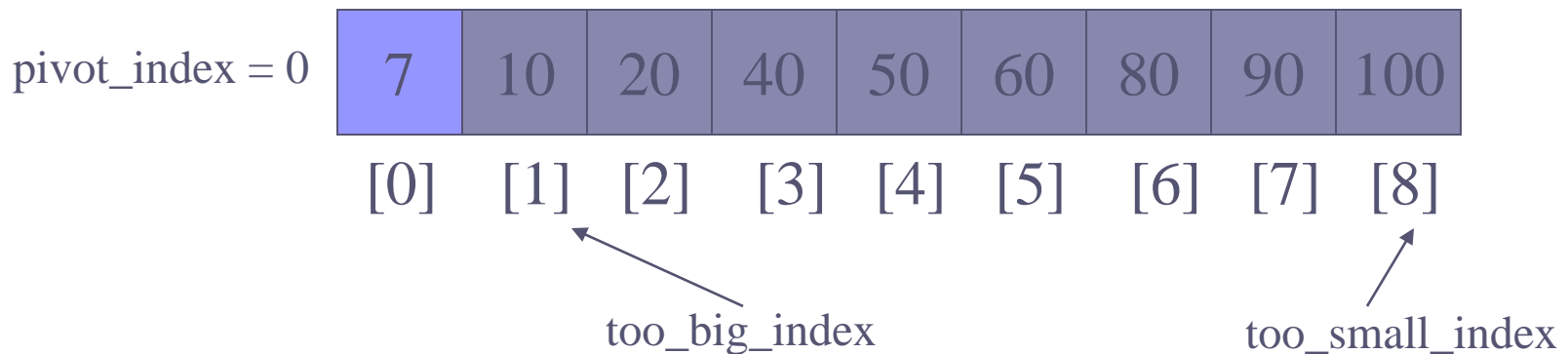
recursion depth is $\log(n)$, each level there are n elements to be treated $\Rightarrow O(n \log n)$

Quick Sort: What is the big Oh?

What happens when the array is already sorted and the smallest item is chosen as the pivot?

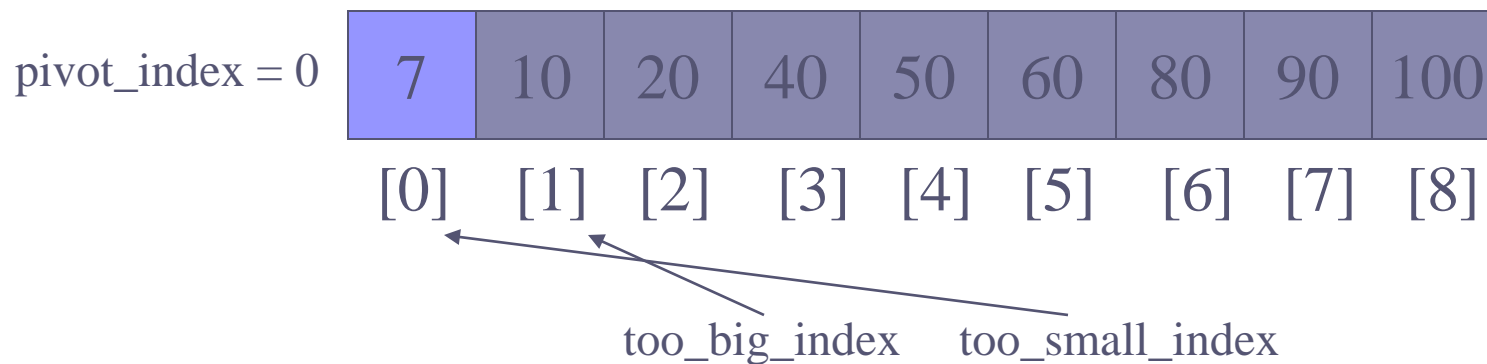
Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.
5. Swap $\text{data}[\text{too_small_index}]$ and $\text{data}[\text{pivot_index}]$



Recall QuickSort

1. While $\text{data}[\text{too_big_index}] \leq \text{data}[\text{pivot}]$
 $++\text{too_big_index}$
2. While $\text{data}[\text{too_small_index}] > \text{data}[\text{pivot}]$
 $--\text{too_small_index}$
3. If $\text{too_big_index} < \text{too_small_index}$
 swap $\text{data}[\text{too_big_index}]$ and $\text{data}[\text{too_small_index}]$
4. While $\text{too_small_index} > \text{too_big_index}$, go to 1.
5. Swap $\text{data}[\text{too_small_index}]$ and $\text{data}[\text{pivot_index}]$



Quick Sort: What is the big Oh?

What happens when the array is already sorted and the smallest item is chosen as the pivot?

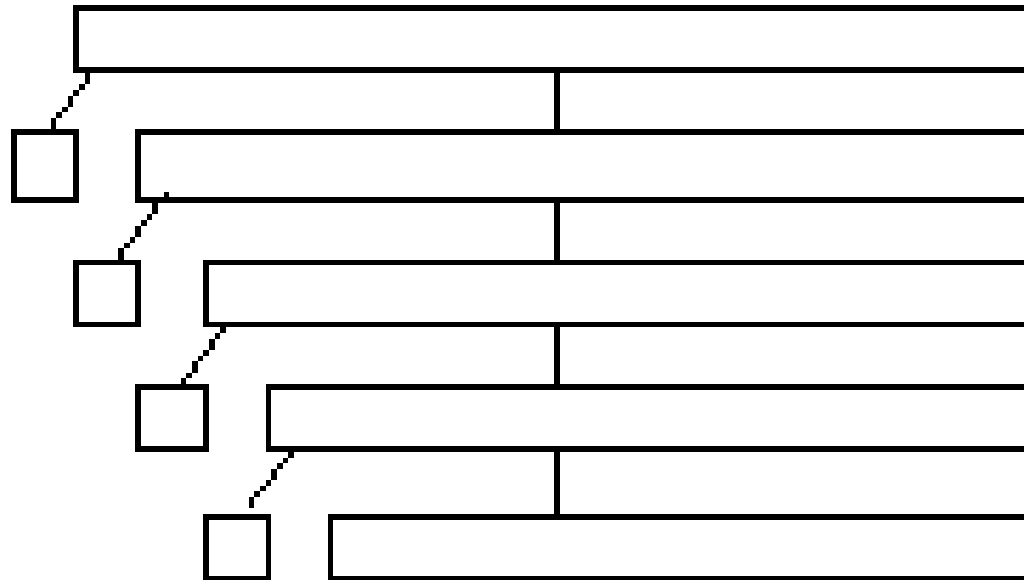
Worst case



Quick Sort: What is the big Oh?

What happens when the array is already sorted and the smallest item is chosen as the pivot?

Worst case



recursion depth is $n-1$, , each level there are n elements to be treated $\Rightarrow O(n^2)$

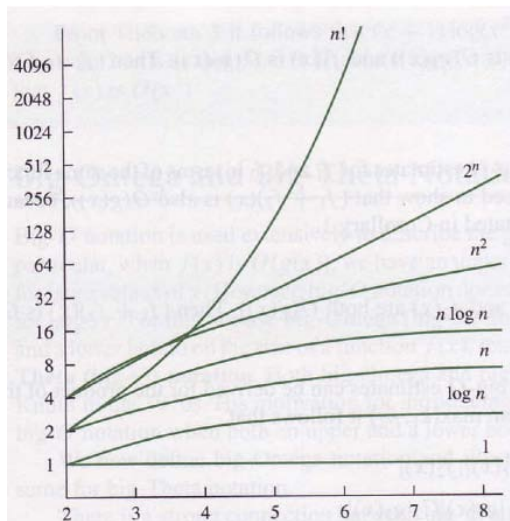
Quicksort

Analysis

- choice of the pivot determines which partition is achieved
- Take the median for optimal partition => worst case is $O(n \log n)$
- Even if the worst case occurs, quicksort's performance is acceptable for moderately large arrays

Common Orders of Functions (Slower growing functions first)

- ☞ $O(1)$ constant
- ☞ $O(\log n)$ logarithmic
- ☞ $O((\log n)^c)$ polylogarithmic
- ☞ $O(n)$ linear
- ☞ $O(n \log n)$ linearithmic, loglinear, quasilinear or supralinear
- ☞ $O(n^2)$ quadratic
- ☞ $O(n^c)$, $c > 1$ polynomial, sometimes called algebraic
- ☞ $O(c^n)$ exponential, sometimes called geometric
- ☞ $O(n!)$ factorial, sometimes called combinatorial
- ☞ $O(n^n)$ exponential



General Rules

- ☞ A normal loop has big Oh, $O(n)$
- ☞ A doubly nested loop generally has big Oh, $O(n^2)$
- ☞ A triply nested loop generally has big Oh, $O(n^3)$
- ☞ Anytime anything is halved on each iteration, you usually get $O(\log n)$
- ☞ Anytime you are generating the permutations or combinations of a pattern (e.g. string, set of roads) it is either $O(n!)$ or $O(c^n)$